

## BOOK REVIEW

on

### A New Kind of Science

by Stephen Wolfram

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“Somebody says, ‘You know, you people always say that space is continuous. How do you know when you get to a small enough dimension that there really are enough points in between, that it isn’t just a lot of dots separated by little distances?’ Or they say, ‘You know those quantum mechanical amplitudes you told me about, they’re so complicated and absurd, what makes you think those are right? Maybe they aren’t right.’ Such remarks are obvious and are perfectly clear to anybody who is working on this problem. It does not do any good to point this out.”

—Richard Feynman [1, p.161]

### 1. Introduction

*A New Kind of Science* [2], the 1280-page treatise by *Mathematica* creator Stephen Wolfram, has only a few things to say about quantum computing. Yet the book’s goal—to understand nature in computational terms—is one widely shared by the quantum computing community. Thus, many in the field will likely be curious: is this 2.5-kilogram tome worth reading? Notwithstanding newspaper comparisons [3] to Darwin’s *Origin of Species*, what is the book’s actual content? This review will not attempt a chapter-by-chapter evaluation, but will focus on two areas: computational complexity and fundamental physics.

As a popularization, *A New Kind of Science* is an impressive accomplishment. The book’s main theme is that simple programs can exhibit complex behavior. For example, let  $p_{i,j} = 1$  if cell  $(i, j)$  is colored black, and  $p_{i,j} = 0$  if white. Then the ‘Rule 110’ cellular automaton is defined by the recurrence

$$p_{i+1,j} = p_{i,j} + p_{i,j+1} - (1 + p_{i,j-1})p_{i,j}p_{i,j+1}$$

for  $i \geq 0$ , given some initial condition at  $i = 0$ . Wolfram emphasizes that such an automaton, even when run with a simple initial condition such as a single black cell, can generate a complicated-looking image with no apparent repetitive or nested structure. This phenomenon, although well known to programming enthusiasts as well as professionals, will no doubt surprise many general readers.

Using cellular automata as a framework, Wolfram moves on to discuss a range of topics—including the second law of thermodynamics, natural selection, plant and animal morphology,

artificial intelligence, fluid dynamics, special and general relativity, quantum mechanics, efficient algorithms and NP-completeness, heuristic search methods, cryptography and pseudo-randomness, data compression, statistical hypothesis testing, Gödel's Theorem, axiomatic set theory, and the Church-Turing thesis. What is noteworthy is that he explains all of these without using formal notation. To do so, he relies on about 1000 high-resolution graphics, which often (though not always) convey the ideas with as much precision as a formula would. With suitable disclaimers, *A New Kind of Science* could form an excellent basis for an undergraduate general-science course.

The trouble is that, as the title implies, Wolfram emphatically does not believe that he is using cellular automata to popularize known ideas. In the introduction, he describes his finding that one-dimensional cellular automata can produce complex behavior as one of the “more important single discoveries in the whole history of theoretical science” (p. 2). He refers in the preface to “a crack in the very foundations of existing science,” “new ideas and new methods that ultimately depend very little on what has gone before,” and “a vast array of applications—both conceptual and practical—that can now be developed.” Comments of this character pervade the book.

Significantly, there is no bibliography. Instead there are 349 pages of endnotes, which summarize the history, from antiquity to the present, of each subject that Wolfram addresses. The notes are fascinating; in many respects they constitute a better book than the main text. However, in both the main text and in the notes, Wolfram generally brings up prior work only to dismiss it as misguided, or at best as irrelevant to his concerns. For example, after relating his ‘discovery’ that there is apparent complexity in the distribution of primes, Wolfram acknowledges that “the first few hundred primes were no doubt known even in antiquity, and it must have been evident that there was at least some complexity in their distribution” (p. 134).

However [he continues], without the whole intellectual structure that I have developed in this book, the implications of this observation—and its potential connection, for example, to phenomena in nature—were not recognized. And even though there has been a vast amount of mathematical work done on the sequence of primes over the course of many centuries, almost without exception it has been concerned not with basic issues of complexity but instead with trying to find specific kinds of regularities (p. 134).

We believe that Wolfram is overstating his case. In the remainder of this review we will explain why we believe this, by examining various specific claims that he makes. In Section 2, we address some of Wolfram's conjectures about computational complexity using standard techniques in theoretical computer science. We also argue that his Principle of Computational Equivalence does not have the relevance to NP-completeness that he asserts for it. In Section 3, we review Wolfram's ideas regarding fundamental physics, pointing out their similarity to existing work in loop quantum gravity. We also examine Wolfram's proposal for a deterministic model underlying quantum mechanics, with ‘long-range threads’ to connect entangled particles. We show that this proposal cannot be made compatible with

both special relativity and Bell inequality violations.

## 2. Computational Complexity

In the opening chapter we are promised that Wolfram’s new kind of science “begins to shed new light on various longstanding questions in computational complexity theory” (p. 14). On pages 758–764 we learn what this means. Complexity theorists have struggled for decades to prove lower bounds—for example, “any Turing machine that decides whether a Boolean formula of size  $n$  is satisfiable requires a number of steps exponential in  $n$ .” What Wolfram proposes is restricting attention to ‘simple’ Turing machines: say, all those with 4 states and a 2-symbol alphabet. The number of such machines is finite, so one could try to analyze all of them (with the aid of a computer), and show that not one solves a given problem within a specified time bound.

Supposing we did this, what would it tell us? Can such simple Turing machines display nontrivial behavior? To demonstrate that they can, Wolfram exhibits a machine (call it  $M$ ) that solves a problem  $L_M$  in time exponential in the length of its input. No machine with at most 4 states can solve  $L_M$  more efficiently, and Wolfram conjectures that  $M$  is ‘irreducible,’ meaning that no machine with *any* number of states can solve  $L_M$  substantially more efficiently.

However, Wolfram’s enumeration approach has a crucial drawback, arising from the same phenomenon of complexity it seeks to address. Not only does the number of  $n$ -state Turing machines grow exponentially in  $n$ ; but analyzing any one machine is equivalent to the ‘halting problem,’ well known to be undecidable with any amount of resources. More concretely, we doubt the enumeration approach scales even to 5-state, 2-symbol Turing machines. For as noted by Wolfram on p. 889, it is not even known what is the maximum number of steps such a machine could make when started on a blank tape. (The best known lower bound for this number, called the ‘5<sup>th</sup> Busy Beaver shift number,’ is 47,176,870, due to Marxen and Buntrock [4].)

But if extremely short programs can produce ‘irreducibly complex’ behavior, then aren’t they already of interest? Indeed, in Chapter 11 Wolfram gives a remarkable construction, due to his employee Matthew Cook, showing that even the Rule 110 cellular automaton is a universal computer. A corollary is that there exists a 2-state, 5-symbol Turing machine that can simulate any other Turing machine. For this construction to work, though, the Turing machine being simulated must be encoded onto the input tape in an extremely complicated way. All ‘tiny’ universal machines known to date face the same problem.

So the real question is: given a problem of interest, such as matrix multiplication, does an extremely short program exist to solve it using a *standard* encoding? We are willing to be surprised by such a program, but Wolfram never comes close to providing an example. If there are no such examples, then by restricting to extremely short programs, we are merely trading an infeasible search among programs for an infeasible search among input encoding schemes.

Elsewhere in the book, there are a few errors and oversights regarding complexity. Wolfram says that minimizing a DNF expression (p. 1096) and computing a permanent (p. 1146) are NP-complete; they are respectively  $\Sigma_2^P$ -complete (as shown by Umans [5]) and #P-complete (as shown by Valiant [6]). Also, in Chapter 10, pseudorandom number generators

based on cellular automata are proposed. Wolfram suggests that, since certain questions involving cellular automata are NP-complete, these generators might be a good basis for cryptography:

To date [no] system has been devised whose cryptanalysis is known to be NP-complete. Indeed, essentially the only problem on which cryptography systems have so far successfully been based is factoring of integers. [And] while this problem has resisted a fair number of attempts at solution, it is not known to be NP-complete (and indeed its ability to be solved in polynomial time on a formal quantum computer may suggest that it is not) (p. 1089–1090).

The most common cryptanalysis problems, such as inverting a one-way permutation, are in  $\text{NP} \cap \text{coNP}$ , which means that they cannot be NP-complete unless  $\text{NP} = \text{coNP}$ . On the other hand, Canetti et al. [7] have proposed ‘deniable encryption’ schemes, in which a single ciphertext can correspond to many plaintexts. Such a scheme could conceivably be secure assuming only  $\text{P} \neq \text{NP}$ , but finding a scheme for which that implication provably holds remains a difficult open problem.

What Wolfram has proposed is simply a candidate pseudorandom generator. There is no shortage of these (or equivalently, candidate one-way functions; Håstad et al. [8] showed that either can be obtained from the other). Some such generators are based on NP-complete problems, but that is not considered evidence for their security, since breaking the generator might be easier than solving the NP-complete problem. Attention has focused on factoring—and on other ‘structured’ problems, involving elliptic curves, error-correcting codes, lattices, and so on—because of the need for *trapdoor* one-way functions in public-key cryptography.

### 2.1. *The principle of computational equivalence*

The final chapter proposes a ‘Principle of Computational Equivalence’: that almost all systems that are not ‘obviously simple’ are in some sense equivalent to a universal Turing machine. Wolfram emphasizes that this principle goes beyond the Church-Turing thesis in two ways: it asserts, first, that universality is pervasive in nature; and second, that universality arises in any sufficiently complex system, without needing to be ‘engineered in.’ To us, the principle still seems an expression of the conventional wisdom in theoretical computer science. However, we will not debate this question in general terms. Instead we will consider a specific implication that Wolfram offers for computational complexity:

In studying the phenomenon of NP completeness what has mostly been done in the past is to try to construct particular instances of rather general problems that exhibit equivalence to other problems. But almost always what is actually constructed is quite complicated—and certainly not something one would expect to occur at all often. Yet on the basis of intuition from the Principle of Computational Equivalence I strongly suspect that in most cases there are already quite simple instances of general NP-complete problems that are just as difficult as any NP-complete problem. And so, for example, I suspect that it does not take a cellular automaton nearly as complicated as [one with 19 colors given previously] for it to be an NP-complete problem to determine whether initial conditions exist that lead to particular behavior. (p. 769)

In computer science, the complexity of ‘typical’ instances of NP-complete problems has been investigated for decades. Highlights include Levin’s theory of average-case completeness [9] and studies of phase transitions in randomly generated combinatorial problems [10]. It remains open to show that some NP-complete problem is hard on average, under a simple distribution, so long as  $P \neq NP$ . However, ‘worst-case/average-case equivalence’ has been shown for several cryptographic problems, including one studied by Ajtai and Dwork [11].

As for the cellular automaton conjecture, its validity depends on how it is formulated. Suppose we are given a one-dimensional, two-color cellular automaton on a lattice of bounded size  $n$ , and an ending condition  $E \in \{0, 1\}^n$ . Then we can decide in polynomial time whether there exists an initial condition that evolves to  $E$  in one or more steps, by using dynamic programming. Extending this technique, we can decide whether there exists an initial condition that evolves to  $E$  in exactly  $t$  steps, where  $t = O(\log n)$ , by computing a list of all possible initial configurations for each contiguous block of  $t$  cells.

Indeed, for any fixed polynomial-time predicate  $\Phi$ , let  $Init_{110}^\Phi$  be the following problem. We are given an ending condition  $E$  with  $n$  cells, and asked to decide whether there exists an initial condition  $I$  such that (i)  $\Phi(I)$  holds, and (ii) the Rule 110 cellular automaton evolves  $I$  to  $E$  in exactly  $t$  steps. Here  $t$  is a fixed polynomial in  $n$ . Then:

**Proposition 1** *For all  $\Phi$  and polynomials  $p$ , there is a polynomial-time algorithm that solves a  $1 - 1/p(n)$  fraction of  $Init_{110}^\Phi$  instances.*

**Proof.** Consider a directed graph with  $2^n$  vertices, one for each configuration, and edges corresponding to the action of Rule 110. Each vertex has outdegree 1, so the number of paths of length  $t$  is  $2^n$ . Thus, if  $E$  is chosen uniformly at random, then the expected number of length- $t$  paths ending at  $E$  is 1, so this number is at most  $p(n)$  with probability at least  $1 - 1/p(n)$ . In this case we can trace  $t$  steps backward from  $E$  in time  $O(ntp(n))$ , maintaining a list of all possible predecessors, and then evaluate  $\Phi$  on each.  $\square$

Nevertheless, since Rule 110 is universal, the following intuition suggests that  $Init_{110}^\Phi$  should be NP-complete for some  $\Phi$ . Given a Boolean formula  $\Psi$  and proposed solution  $X$ , we could create an initial condition  $I(\Psi, X)$  corresponding to a Turing machine that checks whether  $X$  satisfies  $\Psi$ ; and if it does, erases  $X$ , preserves  $\Psi$ , and goes into an ‘accept’ state  $A(\Psi)$ . Then by having  $\Phi$  verify that the initial condition is of legal form, we could reduce the problem of whether  $\Psi$  is satisfiable to that of whether there exists a legal initial condition that evolves to  $E = A(\Psi)$ .

This intuition fails for an interesting reason. Cook’s proof that Rule 110 is universal relies on simulating ‘cyclic tag systems,’ a variant of the tag systems studied in the 1960’s by Cocke and Minsky [12] among others (see also p. 670 of Wolfram). However, though Wolfram does not discuss this explicitly in the book, the known simulations of Turing machines by tag systems require exponential slowdown. To prove that  $Init_{110}^\Phi$  is NP-complete, what is needed is to show that Rule 110 allows *efficient* simulation of Turing machines.

In summary, there are many fascinating complexity questions about one-dimensional cellular automata, as well as about typical instances of NP-complete problems. But it is unclear why the Principle of Computational Equivalence should yield more insight into these questions than the standard techniques of computational complexity.

### 3. Fundamental Physics

The most interesting chapter of *A New Kind of Science* is the ninth, on ‘Fundamental Physics.’ Here Wolfram confronts general relativity and quantum mechanics, arguably the two most serious challenges to a view of nature based on deterministic cellular automata. He conjectures that spacetime is discrete at the Planck scale, of about  $10^{-33}$  centimeters or  $10^{-43}$  seconds. This conjecture is not new; it has long been considered in the context of loop quantum gravity [13, 14], and has also received attention in connection with the holographic principle [15] from black hole thermodynamics. But are new ideas offered to substantiate the conjecture?

For Wolfram, spacetime is a causal network, in which events are vertices and edges specify the dependence relations between events. Pages 486–496 and 508–515 discuss in detail how to generate such a network from a simple set of rules. In particular, we could start with a finite undirected ‘space graph’  $G$ , assumed to be regular with degree 3 (since higher-degree vertices can be replaced by cycles of degree-3 vertices). We then posit a set of update rules, each of which replaces a subgraph by another subgraph with the same number of outgoing edges. The new subgraph must preserve any symmetries of the old one. Then each event in the causal network corresponds to an application of an update rule. If updating event  $B$  becomes possible as a result of event  $A$ , then we draw an edge from  $A$  to  $B$ .

Properties of space are defined in terms of  $G$ . For example, if the number of vertices in  $G$  at distance at most  $n$  from any given vertex grows as  $n^D$ , then space can be said to have dimension  $D$ . (As for formalizing this definition, Wolfram says only that there are “some subtleties. For example, to find a definite volume growth rate one does still need to take some kind of limit—and one needs to avoid sampling too many or too few” vertices (p. 1030).) Similarly, Wolfram asserts that the curvature information needed for general relativity, in particular the Ricci tensor, can be read from the connectivity pattern of  $G$ . To make the model as simple as possible, Wolfram does not associate a bit to each vertex of  $G$ , representing (say) the presence or absence of a particle. Instead particles are localized structures, or ‘tangles,’ in  $G$ .

The above ideas have all been discussed previously by researchers in quantum gravity: in particular, that spacetime is a causal network arising from graph updating rules [13]; that particles could arise as ‘topological defects’ in such a network [16]; and that dimension and other geometric properties can be defined solely in terms of the network’s connectivity pattern [17]. The main difference we can discern between Wolfram’s model and earlier ones is that Wolfram’s is explicitly *classical*. Indeed, Wolfram requires the network evolution to be deterministic, by disallowing ‘multiway systems’: that is, sets of update rules that can yield nonequivalent causal networks, depending on the order in which rules are applied. He opts instead for rule sets that are ‘causal invariant,’ i.e. that yield the same network regardless of rule application order. As noted by Wolfram, a sufficient (though not necessary) condition for causal invariance is that no ‘replaceable’ subgraph overlaps itself or any other replaceable subgraph.

Wolfram points out an immediate analogy to special relativity, wherein observers do not in general agree on the order in which spacelike separated events occur, yet agree on any final outcome of the events. He is vague, though, about how (say) the Lorentz transformations might be derived:

There are many subtleties here, and indeed to explain the details of what is going on will no doubt require quite a few new and rather abstract concepts. But the general picture that I believe will emerge is that when particles move faster they will appear to have more nodes associated with them (p. 529).

Wolfram is “certainly aware that many physicists will want to know more details,” he writes in the endnotes, about how his model can reproduce known features of physics. But, although he chose to omit technical formalism from the presentation, “[g]iven my own personal background in theoretical physics it will come as no surprise that I have often used such formalism in the process of working out what I describe in these sections” (p. 1043). The paradox is obvious: if technical formalism would clarify his ideas, then what could Wolfram lose by including it in the endnotes? If, on the other hand, such formalism is irrelevant, then why does Wolfram even mention having used it?

### 3.1. *Quantum mechanics*

Physicists’ hunger for details will likely grow further when they read the section on ‘Quantum Phenomena’ (p. 537–545). Here Wolfram maintains that quantum mechanics is only an approximation to an underlying classical (and most likely deterministic) theory. Many physicists have sought such a theory, from Einstein to (in modern times) ’t Hooft [18]. But a series of results, beginning in the 1960’s, has made it clear that such a theory comes at a price. Although Wolfram discusses these results, in our view he has not understood what they entail. Because this point is an important one, we will devote this section and the next to it.

To begin, Wolfram is *not* advocating a hidden-variable approach such as Bohmian mechanics, in which the state vector is supplemented by an ‘actual’ eigenstate of a particular observable. Instead he thinks that, at the lowest level, the state vector is not needed at all; it is merely a useful construct for describing some (though presumably not all) higher-level phenomena. Indeterminacy arises because of one’s inability to know the exact state of a system:

[I]f one knew all of the underlying details of the network that makes up our universe, it should always be possible to work out the result of any measurement. I strongly believe that the initial conditions for the universe were quite simple. But like many of the processes we have seen in this book, the evolution of the universe no doubt intrinsically generates apparent randomness. And the result is that most aspects of the network that represents the current state of our universe will seem essentially random (p. 543).

Similarly, Wolfram explains as follows why an electron has wave properties: “. . . a network which represents our whole universe must also include us as observers. And this means that there is no way that we can look at the network from the outside and see the electron as a definite object” (p. 538). An obvious question then is how Wolfram accounts for the possibility of quantum computing, assuming  $BPP \neq BQP$ . He gives an answer in the final chapter:

Indeed within the usual formalism [of quantum mechanics] one can construct quantum computers that may be able to solve at least a few specific problems exponentially faster than ordinary Turing machines. But particularly after my discoveries in Chapter 9 [‘Fundamental Physics’], I strongly suspect that even if this is formally the case, it will still not turn out to be a true representation of ultimate physical reality, but will instead just be found to reflect various idealizations made in the models used so far (p. 771).

In the endnotes, though, where he explains quantum computing in more detail, Wolfram seems to hedge about which idealizations he has in mind:

It does appear that only modest precision is needed for the initial amplitudes. And it seems that perturbations from the environment can be overcome using versions of error-correcting codes. But it remains unclear just what might be needed actually to perform for example the final measurements required (p. 1148).

One might respond that, with or without quantum computing, Wolfram’s proposals can be ruled out on the simpler ground that they disallow Bell inequality violations. However, Wolfram puts forward an imaginative hypothesis to account for bipartite entanglement. When two particles (or ‘tangles’ in the graph  $G$ ) collide, long-range ‘threads’ may form between them, which remain in place even if the particles are later separated:

The picture that emerges is then of a background containing a very large number of connections that maintain an approximation to three-dimensional space, together with a few threads that in effect go outside of that space to make direct connections between particles (p. 544).

The threads can produce Bell correlations, but are somehow too small (i.e. contain too few edges) to transmit information in a way that violates causality. This is reminiscent of, for example, the ‘multisimultaneity’ model studied experimentally by Stefanov et al. [19].

There are several objections one could raise against this thread hypothesis. What we will show in Section 3.2 is that, *if* one accepts three of Wolfram’s own desiderata—determinism, relativity of inertia, and causal invariance—then the hypothesis fails. For now, though, we remark that Wolfram says little about what, to us, is a more natural possibility than the thread hypothesis. This is an explicitly *quantum* cellular automaton or causal network, with a unitary transition rule. (For discussions of how to construct such automata, see van Dam [20] and Watrous [21].) The reason seems to be that he does not want continuity anywhere in a model, not even in probabilities or amplitudes. In the notes, he describes an experiment with a quantum cellular automaton as follows:

One might hope to be able to get an ordinary cellular automaton with a limited set of possible values by choosing a suitable [phase rotation]  $\theta$  [ $\theta = \pi/4$  and  $\theta = \pi/3$  are given as examples in an illustration]. But in fact in non-trivial cases most of the cells generated at each step end up having distinct values (p. 1060).

This observation is unsurprising, given results in quantum computing to the effect that almost any nontrivial gate set is universal (that is, can approximate any unitary matrix to any



desired precision, or any orthogonal matrix in case one is limited to reals). Indeed, Shi [22] has shown that a Toffoli gate\* plus any gate that does not preserve the computational basis, or a controlled-NOT gate plus any gate whose *square* does not preserve the computational basis, are both universal gate sets. In any case, Wolfram does not address the fact that continuity in amplitudes seems more ‘benign’ than continuity in measurable quantities: the former, unlike the latter, does not enable an infinite amount of computation to be performed in a finite time. Also, as observed by Bernstein and Vazirani [23], the linearity of quantum mechanics implies that small errors in amplitudes cannot be magnified during a quantum computation.

### 3.2. *Bell’s theorem and causal invariance*

Our goal in this section is to show that Wolfram’s ‘long-range thread’ model cannot be made compatible with both special relativity and Bell inequality violations. Moreover, this is not a fixable oversight, but a basic shortcoming of any such model. One might think this conclusion immediate. Wolfram, however, allows two key ingredients: first, nonlocality, in the form of the long-range threads; and second, ‘randomness,’ in the form of observers’ inability to know the complete state of the causal network (the latter is discussed on pages 299–326). We will argue that these ingredients do not suffice. In any model of the sort Wolfram considers, randomness must play a more fundamental role than he allows<sup>†</sup>

We now make the argument more formal. Let  $\mathcal{R}$  be a set of graph updating rules, which might be probabilistic. Then consider the following four assertions (which, though not mathematically precise, will be clarified by subsequent discussion).

- (1)  $\mathcal{R}$  satisfies causal invariance. That is, given any initial graph (and choice of randomness if  $\mathcal{R}$  is probabilistic),  $\mathcal{R}$  yields a unique causal network.
- (2)  $\mathcal{R}$  satisfies the relativity postulate. That is, assuming the causal network approximates a flat Minkowski spacetime at a large enough scale, there are no preferred inertial frames.
- (3)  $\mathcal{R}$  permits Bell inequality violations.
- (4) Any updating rule in  $\mathcal{R}$  is always considered to act on a fixed graph, not on a distribution or superposition over graphs. This is true even if parts of the initial graph are chosen at random, and even if  $\mathcal{R}$  is probabilistic.

Our goal is to show that, for any  $\mathcal{R}$ , at least one of these assertions is false. Current physical theory would suggest that (1)-(3) are true and that (4) is false. Wolfram, if we understand him correctly, starts with (4) as a premise, and then introduces causal invariance to satisfy (1) and (2), and long-range threads to satisfy (3).

In a standard Bell experiment, Alice and Bob are given input bits  $x_A$  and  $x_B$  respectively, chosen uniformly and independently at random. Their goal is, without communicating, to output bits  $y_A$  and  $y_B$  respectively such that  $y_A \oplus y_B = x_A \wedge x_B$ . Under any ‘local hidden

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\*Indeed one can use almost any classical reversible 3-bit gate in place of a Toffoli gate. On p. 1098, Wolfram reports that, out of 40,320 such classical reversible gates, 38,976 are universal.

<sup>†</sup>A similar argument could be made on the basis of the Kochen-Specker theorem [24]. The reason we have not done so is that Wolfram never explicitly requires his model to be noncontextual.

variable’ theory, Alice and Bob can succeed with probability at most  $3/4$ ; the optimal strategy is for them to ignore their inputs and output (say)  $y_A = 0$  and  $y_B = 0$ . However, suppose Alice has a qubit  $\rho_A$  and Bob a  $\rho_B$ , that are jointly in the Bell state  $(|00\rangle + |11\rangle)/\sqrt{2}$ . Then there is a protocol<sup>‡</sup> by which they can succeed with probability  $(5 + \sqrt{2})/8 \approx 0.802$ .

We model this situation by letting  $A$  and  $B$ , corresponding to Alice and Bob, be disjoint subgraphs of a graph  $G$ . We suppose that, at a large scale,  $G$  approximates a Euclidean space of some dimension; and that any causal network obtained by applying updates to  $G$  approximates a Minkowski spacetime. We can think of  $G$  as containing long-range threads from  $A$  to  $B$ , though the nature of the threads will not affect our conclusions. We encode Alice’s input  $x_A$  by (say) placing an edge between two specific vertices in  $A$  if and only if  $x_A = 1$ . We encode  $x_B$  similarly, and also supply Alice and Bob with arbitrarily many correlated random bits. Finally we stipulate that, at the end of the protocol, there is an edge between two specific vertices in  $A$  if and only if  $y_A = 1$ , and similarly for  $y_B$ . A technicality is that we need to be able to identify which vertices correspond to  $x_A$ ,  $y_A$ , and so on, even as  $G$  evolves over time. We could do this by stipulating that (say) “the  $x_A$  vertices are the ones that are roots of complete binary trees of depth 3,” and then choosing the rule set to guarantee that, throughout the protocol, exactly two vertices have this property.

Call a variable ‘touched’ after an update has been applied to a subgraph containing any of the variable’s vertices. Also, let  $Z$  be an assignment to all random variables: that is,  $x_A$ ,  $x_B$ , the correlated random bits, and the choice of randomness if  $\mathcal{R}$  is probabilistic. Then for all  $Z$  we require the following, based on what observers in different inertial frames could perceive:

- (i) There exists a sequence of updates under which  $y_A$  is output before any of Bob’s variables are touched.
- (ii) There exists another sequence under which  $y_B$  is output before any of Alice’s variables are touched.

Then it is easy to see that, if a Bell inequality violation occurs, then causal invariance must be violated. Given  $Z$ , let  $y_A^{(1)}(Z), y_B^{(1)}(Z)$  be the values of  $y_A, y_B$  that are output under rule sequence (i), and let  $y_A^{(2)}(Z), y_B^{(2)}(Z)$  be the values output under sequence (ii). Then there must exist some  $Z$  for which either  $y_A^{(1)}(Z) \neq y_A^{(2)}(Z)$  or  $y_B^{(1)}(Z) \neq y_B^{(2)}(Z)$ —for if not, then the entire protocol could be simulated under a local hidden variable model. It follows that the outcome of the protocol can depend on the order in which updates are applied.

To obtain a Bell inequality violation, something like the following seems to be needed. We can encode ‘hidden variables’ into  $G$ , representing the outcomes of the possible measurements Bob could make on  $\rho_B$ . (We can imagine, if we like, that the update rules are such that observing any one of these variables destroys all the others. Also, we make no assumption of contextuality.) Then, after Alice measures  $\rho_A$ , using the long-range threads she updates Bob’s hidden variables conditioned on her measurement outcome. Similarly, Bob updates Alice’s hidden variables conditioned on his outcome. Since at least one party must access its hidden variables for there to be a Bell inequality violation, causal invariance is still violated.

<sup>‡</sup>If  $x_A = 1$  then Alice applies a  $\pi/8$  phase rotation to  $\rho_A$ , and if  $x_B = 1$  then Bob applies a  $-\pi/8$  rotation to  $\rho_B$ . Both parties then measure in the standard basis and output whatever they observe.

But a sort of probabilistic causal invariance holds, in the sense that if we marginalize out  $A$  (the ‘Alice’ part of  $G$ ), then the *distribution* of values for each of Bob’s hidden variables is the same before and after Alice’s update. The lesson is that, if we want both causal invariance and Bell inequality violations, then we need to introduce probabilities at a fundamental level—not merely to represent Alice and Bob’s subjective uncertainty about the state of  $G$ , but even to define whether a set of rules is or is not causal invariant.

Note that we made no assumption about how the random bits were generated—i.e. whether they were ‘truly random’ or were the pseudorandom output of some updating rule. Our conclusion is also unaffected if we consider a ‘deterministic’ variant of Bell’s theorem due to Greenberger, Horne, and Zeilinger [25], discussed by Wolfram on p. 1065. There three parties, Alice, Bob, and Charlie, are given input bits  $x_A$ ,  $x_B$ , and  $x_C$  respectively, satisfying the promise that  $x_A \oplus x_B \oplus x_C = 0$ . The goal is to output bits  $y_A$ ,  $y_B$ , and  $y_C$  such that  $y_A \oplus y_B \oplus y_C = x_A \vee x_B \vee x_C$ . Under a local hidden variable model, there is no protocol that succeeds on all four possible inputs; but if the parties share the GHZ state  $(|011\rangle + |101\rangle + |110\rangle - |000\rangle)/2$ , then such a protocol exists. However, although the *output* is correct with certainty, assuming causal invariance one cannot *implement* the protocol in the long-range thread model, for precisely the same reason as for the two-party Bell inequality. Again, one needs to be able to talk about the state of  $G$  as a distribution or superposition over classical states.

After Wolfram was sent a version of this review, Rowland [26], an employee of Wolfram, wrote to us that the above argument fails for the following reason. We assumed that there exist two sequences of updating events, one in which Alice’s measurement precedes Bob’s and one in which Bob’s precedes Alice’s. But we neglected the possibility that a *single* update, call it  $E$ , is applied to a subgraph that straddles the long-range threads. The event  $E$  would encompass both Alice and Bob’s measurements, so that neither would precede the other in any sequence of updates. We could thereby obtain a rule set  $\mathcal{R}$  satisfying assertions (1), (3), and (4).

We argue that such an  $\mathcal{R}$  would nevertheless fail to satisfy (2). For in effect we start with a flat Minkowski spacetime, and then take two distinct events that are simultaneous in a particular inertial frame, and identify them as being the *same* event  $E$ . This can be visualized as ‘pinching together’ two horizontally separated points on a spacetime diagram. (Actually a whole ‘V’ of points must be pinched together, since otherwise entanglement could not have been created.) However, what happens in a different inertial frame? It would seem that  $E$ , a single event, is perceived to occur at two separate times. That by itself might be thought acceptable, but it implies that there exists a class of preferred inertial frames: those in which  $E$  is perceived to occur only once. Of course, even in a flat spacetime, one could designate as ‘preferred’ those frames in which Alice and Bob’s measurements are perceived to be simultaneous. A crucial distinction, though, is that there one only obtains a class of preferred frames after deciding which event at Alice’s location, *and* which event at Bob’s location, should count as ‘measurements.’ Under Rowland’s hypothesis, by contrast, once one decides what counts as the measurement at Alice’s location, the decision at Bob’s location is made automatically. This is because the measurement involves  $E$ , an event which straddles

the two locations (which would otherwise be spacelike separated).

#### 4. Conclusion

Steven Levy [27] opines in *Wired* that “probably the toughest criticism [of *A New Kind of Science*] will come from those who reject Wolfram’s ideas because the evidence for his contentions is based on observing systems contained inside computers.” In our opinion, however, it is preferable to judge the book on its own terms—to grant, that is, that many complex systems might indeed be fruitfully understood in terms of simple computations. The question is, what does the book tell us about such systems, beyond what was known from ‘traditional science’?

This review focused on two fields, computational complexity and fundamental physics, which Wolfram claims are transformed by the discoveries in his book. We made no attempt to assess the book’s relevance to other fields such as evolutionary biology and statistical physics.

In computational complexity, we argued that Wolfram tends to recapitulate existing ideas (such as pseudorandomness and the intractability of simple instances of NP-complete problems), albeit without precise definitions or proofs, and with greater claims of significance. For theoretical computer scientists, the most interesting content in the book will possibly be the explicit constructions of Turing machines and cellular automata.

In physics, the book proposes that spacetime be viewed in terms of causal networks arising from graph rewriting systems. We argued that this proposal, as well as Wolfram’s elaborations on it, have been previously considered in the loop quantum gravity literature. Wolfram claims to have further details to validate the proposal, but has declined to supply them. As for the idea that a deterministic, relativistically invariant, causal invariant model underlies quantum mechanics, we argued that it fails—even if quantum mechanics breaks down for more than two particles, and even if, as Wolfram suggests, one allows long-range threads to connect entangled particles. Exactly what kinds of classical models could underlie quantum mechanics is a question of great importance, but Wolfram makes no serious effort to address the question.

*A New Kind of Science* was published by Wolfram’s own company, and was not subject to outside editing or peer review. If it were (say) a creationist tract, then this unusual route to publication would be of little consequence: for then no amount of editing would have improved it, and few scientifically literate readers would be misled by it. What is unfortunate in this case is that outside editing would probably have made a substantial difference. In an endnote, Wolfram explains that “[p]erhaps I might avoid some criticism by a greater display of modesty [in the text], but the cost would be a drastic reduction in clarity” (p. 849). However, were the book more cautious in its claims and more willing to acknowledge previous work, it would likely be easier for readers to assess what it does offer: a cellular-automaton-based perspective on existing ideas in science. Thus, we believe the book would be not only less susceptible to criticism, but also clearer.

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